

2152

2181
4
13J
4.17.02**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In Re: Isherwood, et al. : Group Art Unit: 2181
 :
 Appln. No. 09/974,711 : Examiner: Not Assigned
 :
 Filed: October 10, 2001 :
 :
 For: METHOD AND SYSTEM FOR : Attorney Docket
 : No. 052555-5016
 DIRECTING USERS TO INFORMATION :
 SPECIFIC TO NETWORK :
 APPLICATIONS :



**INFORMATION DISCLOSURE STATEMENT
 PURSUANT TO 37 C.F.R. §§ 1.56**

Assistant Commissioner for Patents
 Washington, D.C. 20231

RECEIVED ✓
 MAR 01 2002
 Technology Center 2100

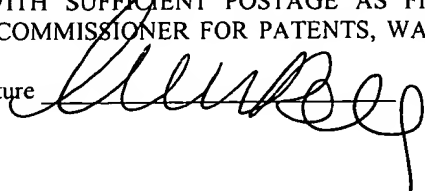
Dear Sir:

In accordance with 37 C.F.R. § 1.97(b), the above-identified Applicants cite the following patents and publications, which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56. In compliance with 37 C.F.R. § 1.98, copies of the patents and publications set forth below and listed on the attached Form PTO-1449 (3 sheets) have not been provided because they were cited in one or more of parent Application No.'s 09/971,093, 09/971,115, 09/971,321 and 09/971,369. In exception to the above, references to two web cites set forth below and listed on the attached Form PTO-1449 have been provided. In addition, Applicants hereby bring to the Examiner's attention Application Serial No.'s 09/310,355, 09/768,800, 09/768,535, 09/768,387, 09/974,492, 09/951,361, 09/236,176 and U.S. Patent No. 6,314,457. This information disclosure is being filed more than 3 months from the filing date, but prior to the mailing of a first Official Action. Accordingly, no petition, or fee is believed to be required.

CERTIFICATE OF MAILING (37 CFR §1.8(a))

I HEREBY CERTIFY THAT THIS CORRESPONDENCE AND THE PAPERS AND/OR FEES REFERRED TO HEREIN AS TRANSMITTED, SUBMITTED OR ENCLOSED, ARE BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE WITH SUFFICIENT POSTAGE AS FIRST-CLASS MAIL IN AN ENVELOPE ADDRESSED TO: ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231, ON THE DATE INDICATED BELOW.

Name Alison B. Weisberg

Signature 

Date of Deposit 2/7/02

| <u>Patent No.</u> | <u>Patentee</u> | <u>Issue Date</u> |
|-------------------|-------------------|-------------------|
| 4,042,113 | Duncan et al. | 08-1977 |
| 4,752,675 | Zetmeir | 06-1988 |
| 5,832,457 | O'Brien et al. | 11-1998 |
| 5,963,916 | Kaplan | 10-1999 |
| 6,026,375 | Hall et al. | 02-2000 |
| 6,029,141 | Bezos et al. | 02-2000 |
| 6,038,552 | Fleischl et al. | 03-2000 |
| 6,246,997-B1 | Cybul et al. | 06-2001 |
| 2001/0011233 | Narayanaswami | 08-2001 |
| 2001/0013011 | Day et al. | 08-2001 |
| 2001/0014868 | Herz et al. | 08-2001 |
| 4,964,043-A | Galvin | 10-1990 |
| 5,625,776-A | Johnson | 04-1997 |
| 6,101,483-A | Petrovich et al. | 08-2000 |
| 6,134,548-A | Gottzman et al | 10-2000 |
| 5,496,071-A | M.A. Walsh | 03-1996 |
| 5,583,994-A | Rangan | 12-1996 |
| 5,899,700-A | Williams et al. | 05-1999 |
| 5,907,836-A | Sumita et al. | 05-1999 |
| 5,974,222-A | Yuen et al. | 10-1999 |
| 6,011,949-A | Shimomukai | 01-2000 |
| 6,012,012-A | Shachar | 01-2000 |
| 6,137,950-A | Yuen | 10-2000 |
| 6,144,848-A | J.F. Walsh et al. | 11-2000 |
| 6,154,738-A | Call | 11-2000 |
| 6,199,048-B1 | Hudetz et al. | 03-2001 |
| 4,797,818 | Cotter | 01-10-1989 |
| 5,122,959 | Nathanson et al. | 06-16-1992 |
| 5,444,444 | Ross | 08-22-1995 |
| 5,583,487 | Ackerman et al. | 12-10-1996 |
| 5,624,265 | Redford et al. | 04-29-1997 |
| 5,694,551 | Doyle et al. | 12-02-1997 |
| 5,825,002 | Roslak | 10-20-1998 |
| 5,890,136 | Kipp | 03-30-1999 |
| 5,978,773 | Hudetz et al. | 11-02-1999 |
| 5,905,248 | Russell et al. | 05-18-1999 |
| 5,940,074 | Britt, Jr. et al. | 08-17-1999 |
| 5,983,199 | Kaneko | 11-09-1999 |
| 5,987,440 | O'Neil et al. | 11-16-1999 |
| 6,006,242 | Poole et al. | 12-21-1999 |
| 6,031,621 | Binder | 02-29-2000 |
| 6,034,680 | Kessenich et al. | 03-07-2000 |
| 5,957,695 | Redford et al. | 09-28-1999 |
| 5,995,105 | Reber et al. | 11-30-1999 |
| 5,869,819 | Knowles et al. | 02-09-1999 |
| 5,804,803 | Cragun et al. | 09-08-1998 |
| 5,979,757 | Tracey et al. | 11-09-1999 |
| WO 95/26536-A | Leher et al. | 10-1995 |
| WO 99/16060 | Keyston | 01-1999 |
| WO 97/01137 | International | 09-1997 |

Other References

Vollum, R.B. "43 Ways Computers Can Help You," (Abstract only), Purchasing World, Vol. 27, No. 6, pp. 81-82, June 1983.

Anon., "Octel Communications Offers a Multi-Function Call Processing Server System," Computergram International, No. 1658, April 19, 1991

Anon., "Financial Times Launches Content through Netscape's In-Box Direct," Information Today, Vol. 14, No. 3, pp. 1,19, March 1997

Anon., "MSNBC Business Video and WavePhone Newscast Create Multimedia Business Intelligence Service," Information Today, Vol. 15, No. 1, p 25+, January 1998

Majer, A. et al., NewMedia, Vol. 9, No. 1, page 11, published January 1999.

Bango.Net, www.bango.net, 71 Pages.

Internet Number, www.hatch.co.jp/e, 34 Pages.

Except where explicitly identified on the document itself, and particularly with reference to the web site print outs, Applicants do not know whether and to what extent such documents existed prior to the filing date of the above application.

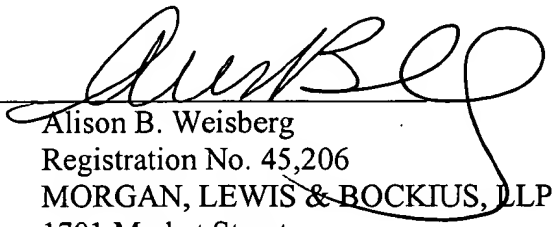
Applicants respectfully request that these references be considered by the Examiner, and that the Examiner acknowledge consideration of the references by initialing and returning copies of the enclosed Form PTO-1449 with the next official action.

While this Information Disclosure Statement may be "material" pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention or otherwise material to the patentability of this invention as defined in 37 C.F.R. § 1.56.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(b) exists.

The Commissioner is hereby authorized by this paper to charge any fees required in connection with this filing to Deposit Account No. 50-0310. A duplicate of this authorization is enclosed.

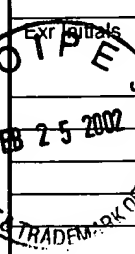
Respectfully submitted,

By: 
Alison B. Weisberg
Registration No. 45,206
MORGAN, LEWIS & BOCKIUS, LLP
1701 Market Street
Philadelphia, PA 19103
Telephone: (215) 963-5000
Direct Dial: (215) 963-5091
Facsimile: (215) 963-5299
E-Mail: aweisberg@morganlewis.com
Attorney for Applicants

Dated: 2/7/02

Enclosure: (Form 1449 – 3 Pages)

| | | | | | |
|--|---|----|---|--------------------------|-------------------|
| Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) | | | | Complete if Known | |
| | | | | Application Number | 09/974,711 |
| | | | | Filing Date | October 10, 2001 |
| | | | | First Named Inventor | Isherwood, et al. |
| | | | | Group Art Unit | 2181 |
| | | | | Examiner Name | Not Yet Assigned |
| Sheet | 1 | of | 3 | Attorney Docket Number | 052555-5016 |

| U.S. PATENT DOCUMENTS | | | | |
|--|-----------------------------|----------------------|---|--|
| Exr Initials | U.S. Patent Document Number | Kind Code (if known) | Name of Inventor or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY |
|  | 5,042,113 | | Duncan et al. | 08-1977 |
| | 4,752,675 | | Zetmeir | 06-1988 |
| | 5,832,457 | | O'Brien et al. | 11-1998 |
| | 5,963,916 | | Kaplan | 10-1999 |
| | 6,026,375 | | Hall et al. | 02-2000 |
| | 6,029,141 | | Bezos et al. | 02-2000 |
| | 6,038,552 | | Fleischl et al. | 03-2000 |
| | 6,246,997-B1 | | Cybul et al. | 06-2001 |
| | 2001/0011233 | | Narayanaswami | 08-2001 |
| | 2001/0013011 | | Day et al. | 08-2001 |
| | 2001/0014868 | | Herz et al. | 08-2001 |
| | 4,964,043-A | | Galvin | 10-1990 |
| | 5,625,776-A | | Johnson | 04-1997 |
| | 6,101,483-A | | Petrovich et al. | 08-2000 |
| | 6,134,548-A | | Gottzman et al | 10-2000 |

| FOREIGN PATENT DOCUMENTS | | | | | | |
|--------------------------|-------------------------|------------|----------------------|---|--|----------------|
| Exr Initials | Foreign Patent Document | | | Name of Inventor or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | T ₁ |
| | Country Code | Number | Kind Code (if known) | | | |
| | WO | 95/26536-A | | Leher et al. | 10-1995 | |
| | WO | 99/16060 | | Keyston | 01-1999 | |
| | WO | 97/01137 | | International | 09-1997 | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |

| OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS | | | T ₁ |
|---|---|--|----------------|
| Exr Initials | Include Name of Author (in CAPITAL LETTERS), title of the article (where appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | | |
| | Vollum, R.B. "43 Ways Computers Can Help You," (Abstract only), Purchasing World, Vol. 27, No. 6, pp. 81-82, June 1983. | | |
| | Anon., "Octel Communications Offers a Multi-Function Call Processing Server System," Computergram International, No. 1658, April 19, 1991 | | |
| | Anon., "Financial Times Launches Content through Netscape's In-Box Direct," Information Today, Vol. 14, No. 3, pp. 1,19, March 1997 | | |
| | Anon., "MSNBC Business Video and WavePhore Newscast Create Multimedia Business Intelligence Service," Information Today, Vol. 15, No. 1, p 25+, January 1998 | | |

| | | | |
|--------------------|--|-----------------|--|
| Examiner Signature | | Date Considered | |
|--------------------|--|-----------------|--|

T₁ - Place a check mark if English language translation is attached.
 County Code - see Phila Doc #52886
 Kind Code - see Phila Doc # 99754

| | | | | | |
|--|------------------|----|---|--------------------------|-------------------|
| Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) | | | | Complete if Known | |
| | | | | Application Number | 09/974,711 |
| | | | | Filing Date | October 10, 2001 |
| | | | | First Named Inventor | Isherwood, et al. |
| | | | | Group Art Unit | 2181 |
| Examiner Name | Not Yet Assigned | | | | |
| Sheet | 2 | of | 3 | Attorney Docket Number | 052555-5016 |

| U.S. PATENT DOCUMENTS | | | | |
|-----------------------|----------------------|----------------------|---|---|
| Exr Initials | U.S. Patent Document | | Name of Inventor or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY |
| | Number | Kind Code (if known) | | |
| | 5,496,071-A | | M.A. Walsh | 03-1996 |
| | 5,583,994-A | | Rangan | 12-1996 |
| | 5,899,700-A | | Williams et al. | 05-1999 |
| | 5,907,836-A | | Sumita et al. | 05-1999 |
| | 5,974,222-A | | Yuen et al. | 10-1999 |
| | 6,011,949-A | | Shimomukai | 01-2000 |
| | 6,012,012-A | | Shachar | 01-2000 |
| | 6,137,950-A | | Yuen | 10-2000 |
| | 6,144,848-A | | J.F. Walsh et al. | 11-2000 |
| | 6,154,738-A | | Call | 11-2000 |
| | 6,199,048-B1 | | Hudetz et al. | 03-2001 |
| | 4,797,818 | | Cotter | 01-10-1989 |
| | 5,122,959 | | Nathanson et al. | 06-16-1992 |
| | 5,444,444 | | Ross | 08-22-1995 |
| | 5,583,487 | | Ackerman et al. | 12-10-1996 |

| FOREIGN PATENT DOCUMENTS | | | | | | |
|--------------------------|-------------------------|--------|----------------------|---|---|----------------|
| Exr Initials | Foreign Patent Document | | | Name of Inventor or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | T ₁ |
| | Country Code | Number | Kind Code (if known) | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |

| OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS | | | T ₁ |
|---|---|--|----------------|
| Exr Initials | Include Name of Author (in CAPITAL LETTERS), title of the article (where appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | | |
| | Majer, A. et al., NewMedia, Vol. 9, No. 1, page 11, published January 1999. | | |
| | Bango.Net, www.bango.net, 71 Pages. | | |
| | Internet Number, www.hatch.co.jp/e, 34 Pages. | | |
| | | | |

| | | | |
|--------------------|--|-----------------|--|
| Examiner Signature | | Date Considered | |
|--------------------|--|-----------------|--|

T₁ - Place a check mark if English language translation is attached.
 County Code - see Phila Doc #52886
 Kind Code - see Phila Doc # 99754

| | | | | | |
|--|---|-----------|-----------------------------|-------------------------------|-------------|
| Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) | | | C mplete if Known | | |
| | | | Application Number | 09/974,711 | |
| | | | Filing Date | October 10, 2001 | |
| | | | First Named Inventor | Isherwood, et al. | |
| | | | Group Art Unit | 2181 | |
| | | | Examiner Name | Not Yet Assigned | |
| Sheet | 3 | of | 3 | Attorney Docket Number | 052555-5016 |

| U.S. PATENT DOCUMENTS | | | | |
|-----------------------|----------------------|----------------------|---|---|
| Exr Initials | U.S. Patent Document | | Name of Inventor or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY |
| | Number | Kind Code (if known) | | |
| | 5,624,265 | | Redford et al. | 04-29-1997 |
| | 5,694,551 | | Doyle et al. | 12-02-1997 |
| | 5,825,002 | | Roslak | 10-20-1998 |
| | 5,890,136 | | Kipp | 03-30-1999 |
| | 5,978,773 | | Hudetz et al. | 11-02-1999 |
| | 5,905,248 | | Russell et al. | 05-18-1999 |
| | 5,940,074 | | Britt, Jr. et al. | 08-17-1999 |
| | 5,983,199 | | Kaneko | 11-09-1999 |
| | 5,987,440 | | O'Neil et al. | 11-16-1999 |
| | 6,006,242 | | Poole et al. | 12-21-1999 |
| | 6,031,621 | | Binder | 02-29-2000 |
| | 6,034,680 | | Kessenich et al. | 03-07-2000 |
| | 5,957,695 | | Redford et al. | 09-28-1999 |
| | 5,995,105 | | Reber et al. | 11-30-1999 |
| | 5,869,819 | | Knowles et al. | 02-09-1999 |
| | 5,804,803 | | Cragun et al. | 09-08-1998 |
| | 5,979,757 | | Tracey et al. | 11-09-1999 |

| FOREIGN PATENT DOCUMENTS | | | | | | |
|--------------------------|-------------------------|--------|----------------------|---|---|----------------|
| Exr Initials | Foreign Patent Document | | | Name of Inventor or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | T ₁ |
| | Country Code | Number | Kind Code (if known) | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |

| OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS | | | T ₁ |
|---|---|--|----------------|
| Exr Initials | Include Name of Author (in CAPITAL LETTERS), title of the article (where appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | | |
| | | | |
| | | | |
| | | | |
| | | | |

| | | | |
|---------------------------|--|------------------------|--|
| Examiner Signature | | Date Considered | |
|---------------------------|--|------------------------|--|

T₁ - Place a check mark if English language translation is attached.
 County Code - see Phila Doc #52886
 Kind Code - see Phila Doc # 99754